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**COMPLIANCE IS MANDATORY** 

Printable Format (PDF)

**Subject: Care and Use of Animals** 

Responsible Office: Office of the Chief Health & Medical Officer

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## **CHAPTER 7. Sanctions**

- 7.1. Pls not employed by NASA, whose activities are supported by NASA but whose activities using animals are restricted to non-NASA facilities, shall be subject to the control of their institution's IACUC, the responsible Center Director, and Program Manager when appropriate. Notification of noncompliance with the AWA its implementing guidelines, PHS Policy, and this NPR shall be made by the non-NASA institution to the Director of the NASA Center through which the activity has been supported and to the ANO. Any continued noncompliance may be cause for termination of funding or support.
- 7.2. At NASA Centers, the process for imposing sanctions is as follows:
- 7.2.1. Deficiencies in animal care and use at any NASA facility by anyone (such as investigators, animal care personnel, visiting scientists, support contractors) may result in the halting of such activities as directed by the NASA Chief Veterinarian, NASA Center Attending Veterinarian, or line management. If someone other than the Center's Attending Veterinarian has halted an activity, it must be immediately reported to the Attending Veterinarian. It must also be brought to the attention of the IACUC Chair within 2 business days. If the issue cannot be immediately resolved to the satisfaction of the Attending Veterinarian, the IACUC will review the activity.
- 7.2.2. The IACUC may suspend an activity that it previously approved if it determines that the activity is not being conducted in accordance with applicable provisions of the AWA, its implementing regulations, PHS Policy, this NPR, or as described in the approved protocol. The IACUC may suspend an activity only after review of the matter at a convened meeting of a quorum of the IACUC and with the suspension vote of a majority of the quorum present. Any suspension of an approved activity will include a statement of the reasons for the action and will be promptly reported to the PI and the appropriate IO.
- 7.2.3. If the IACUC suspends an activity involving animals, the Institutional Official in consultation with the IACUC shall review the reasons for suspension, take appropriate corrective action, and report that action with a full explanation to OLAW and the ANO. The IO will also formally report such actions to the PI, appropriate Center Director and Program or Project Manager responsible for supporting the activity. In the case of investigators from non-NASA institutions, notification shall also be sent to the management and IACUC at the investigator's institution.
- 7.2.4. If the PI fails to take appropriate corrective action, the IACUC can terminate a study.
- 7.2.5. In cases where an investigation is terminated, the Center Director, in consultation with the Center Chief Counsel, may also impose additional appropriate sanctions, and report his or her actions with a full explanation to the ANO.
- 7.2.6. The ANO, after reviewing the actions of the Center Director, may, in consultation with the NASA General Counsel, impose further sanctions, as appropriate.

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